

Department of Securities
 Business Unit - 63000 - Detail Account
 FY-2012 Operating Budget Comparison Summary by Division/Department
 as of June 30, 2012

OCPGL341
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63000 - Department of Securities
 Division - 10 - General Operations
 Department: 1001000 - 1002500

Department Description	Annual Budget	YTD Budget	Expenses	Encumbrance	Pre-Encumbrance	Total Exp, Enc, Pre-Enc	Annual Variance	YTD Variance	Annual %	YTD %
1001000 Administration General	198,613	198,613	206,712.09	2,970.00	0.00	209,682.09	-11,069.09	-11,069.09	105.57	105.57
1002000 Regulation General	445,163	445,163	455,543.97	23,418.60	0.00	478,962.57	-33,799.57	-33,799.57	107.59	107.59
1002088 Regulation Data Processing	254,997	254,997	236,150.00	7,271.28	0.02	243,421.30	11,575.70	11,575.70	95.46	95.46
1002200 Registration Of Securities	279,065	279,065	268,792.54	0.00	0.00	268,792.54	10,272.46	10,272.46	96.32	96.32
1002320 Regis of Firms/Agents Exam	682,544	682,544	625,532.03	7,964.08	0.00	633,496.11	49,047.89	49,047.89	92.81	92.81
1002400 Investigation and Enforcement	2,087,078	2,087,078	1,882,669.22	56,690.69	0.00	1,939,359.91	147,718.09	147,718.09	92.92	92.92
1002500 Investor Education	138,799	138,799	136,212.92	0.00	0.00	136,212.92	2,586.08	2,586.08	98.14	98.14
Totals	4,086,259	4,086,259	3,811,612.77	98,314.65	0.02	3,909,927.44	176,331.56	176,331.56	95.68	95.68
Class Funding	Annual Budget	YTD Budget	Expenses	Encumbrance	Pre-Encumbrance	Total Exp, Enc, Pre-Enc	Annual Variance	YTD Variance	Annual %	YTD %
20000 Okla Securities Dept Rev Fund	4,086,259	4,086,259	3,811,612.77	98,314.65	0.02	3,909,927.44	176,331.56	176,331.56	95.68	95.68
Totals	4,086,259	4,086,259	3,811,612.77	98,314.65	0.02	3,909,927.44	176,331.56	176,331.56	95.68	95.68

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63000 - Department of Securities
 Division - 30 - Investor Education
 Department: 3000001 - 3000002

Department Description	Annual Budget	YTD Budget	Expenses	Encumbrance	Pre-Encumbrance	Total Exp, Enc, Pre-Enc	Annual Variance	YTD Variance	Annual %	YTD %
3000001 Investor Education	0	0	1,039.37	0.00	0.00	1,039.37	-1,039.37	-1,039.37	~	~
3000002 Investor Education Univ of OK	2,372,108	2,372,108	713,653.49	1,657,415.14	0.00	2,371,068.63	1,039.37	1,039.37	99.96	99.96
Totals	2,372,108	2,372,108	714,692.86	1,657,415.14	0.00	2,372,108.00	0.00	0.00	100.00	100.00

Class Funding	Annual Budget	YTD Budget	Expenses	Encumbrance	Pre-Encumbrance	Total Exp, Enc, Pre-Enc	Annual Variance	YTD Variance	Annual %	YTD %
20000 Okla Securities Dept Rev Fund	1,000,000	1,000,000	255,829.46	744,170.54	0.00	1,000,000.00	0.00	0.00	100.00	100.00
20500 Investor Education Revolving	1,372,108	1,372,108	458,863.40	913,244.60	0.00	1,372,108.00	0.00	0.00	100.00	100.00
Totals	2,372,108	2,372,108	714,692.86	1,657,415.14	0.00	2,372,108.00	0.00	0.00	100.00	100.00

Totals for Bus Unit 63000	6,458,367	6,458,367	4,526,305.63	1,755,729.79	0.02	6,282,035.44	176,331.56	176,331.56	97.27	97.27
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