

**FY 2026 Budget Performance Review
29600 - Ethics Commission**

Version Original
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Lead Financial Officer: Lee Anne Bruce Boone

Agency Mission

Division and Program Descriptions

Note: Please define any acronyms used in program descriptions.

Rule Promulgation and Advisory Opinions

The Oklahoma Ethics Commission is constitutionally required to enact civil laws (called Ethics Rules) of ethical conduct for: state officers, state employees, campaigns for elective state office, and campaigns for initiatives and referenda, including establishing civil penalties for violations of the Rules. The Commission may also offer binding interpretations of its Rules. The Commission investigates alleged violations of its Rules which may result in prosecution in District Court or settlement. The Commission has limited jurisdiction over conduct of campaigns and financial disclosure at the political subdivision level.

Enforcement

The Commission is the primary entity required to enact the civil laws of Oklahoma called Ethics Rules concerning the ethical conduct of: (1) Campaigns for elective state office; (2) Campaigns for initiative and referenda; (3) state officers; and (4) state employees. The process is set forth in the Constitution and requires public hearing before the Commission promulgates Rules. Those Rules are sent to the legislature who may reject the Rules through a joint resolution, a joint resolution that passes both Houses of the legislature must be presented to the Governor who has the opportunity to veto the joint resolution. For effective Rules, the Commission or legislature may modify those Rules. However, the interpretation of how the Rules apply is constitutionally assigned to the Commission

Compliance and Administrative Hearings

The Commission is Constitutionally required to investigate alleged violations of its Rules. The enforcement programs preliminary verifies information contained in complaints filed by individuals outside of Commissioners and Commission staff to ensure complaints meet the requirements in the Rules. All Complaints are presented to the Commissioners by staff to determine whether to open a formal complaint, if opened, the Commission investigates violations through documentary and testimonial evidence, issues Notices of Allegations, provides an opportunity to respond to such allegations, and ultimately determines whether to prosecute those violations in District Court via a Civil Lawsuit.

Resources and Materials

This division is responsible for developing and maintaining resources, including Annotated Rules, a Compilation of Constitutional provisions, statutes, and Ethics Rules concerning the Ethics Laws in Oklahoma. This Division also develops and maintains educational and assistive materials such as Guides tailored to different regulated entity, checklists, communications, and education programs offered on either an ad hoc or routine basis.

Political Subdivision Enforcement (mandated but not implemented due to lack of funding)

SB 1745 (2014) created the political subdivision enforcement division within the Commission to be funded by the Political Subdivision Enforcement Fund also created by SB 1745 (2014). This division is responsible for regulation of the Local Government Campaign Finance and Financial Disclosure Act, concerning campaigns for elective office for all 77 counties, approximately 40 municipalities, all independent school districts, and all technology center districts. The jurisdiction assigned to the Commission includes filing of financial disclosures for certain political subdivision officials as well. This division was funded in FY 25 for the first time in the amount of \$150,000 one-time appropriations.

FY'25 Budgeted Department Funding By Source

Dept. #	Department Name	Appropriations	Federal	Revolving	Local ¹	Other ²	Total
1000010	General Operations	\$1,089,630		\$103,238			\$1,192,868
8800020	Administrative Information Services	\$75,000		\$1,431,540			\$1,506,540
							\$0
Total		\$1,164,630	\$0	\$1,534,778	\$0	\$0	\$2,699,408

- Please describe source of Local funding not included in other categories:
- Please describe source(s) and % of total of "Other" funding if applicable for each department:

Balances of Appropriated Funds from Prior Fiscal Years

3-digit Class Fund #	Class Fund Name	GA Bill # and Section #	Fiscal Year of Original Appropriation	Original Appropriation Amount (\$)	Total Expended Amount as of 8/31/2024 (\$)	Balance as of 8/31/2024 (\$)
						\$0
						\$0
Total remaining prior year appropriation balance:						\$0

Report appropriations that have existing balances from all prior fiscal years at the 3-digit class fund number (i.e. 193, 194). Do not report carryover class funds separately. Include appropriations located in disbursing funds. Report PREP, but not ARPA/SRF, appropriations.

What changes did the agency make between FY'24 and FY'25?

- Are there any services no longer provided because of budget cuts? N/A
- What services are provided at a higher cost to the user? n/a
- What services are still provided but with a slower response rate? n/a
- Did the agency provide any pay raises that were not legislatively/statutorily required? n/a

Appropriation Increase Review

Appropriation Increase Purpose	Appropriation Increases (Additional to Agency Base Appropriation)			Expenditures	
	FY 2023	FY 2024	Total Amount Received FY 2023-2024	Total Expenditure of Increase as of 6/30/2024	If funds have not been spent, please explain why.
	\$0	\$0	\$0	\$0	

			\$0	
			\$0	
Total:	\$0	\$0	\$0	\$0

List appropriation increases that the agency has received in the prior two years. List amounts received in each year. Include PREP, but not ARPA/SRF, appropriations.

FY'26 Requested Funding By Department and Source							
Dept. #	Department Name	Appropriations	Federal	Revolving	Other ¹	Total	% Change
1000010	General Operations	\$1,089,630	\$0	\$103,238	\$0	\$1,192,868	0.00%
8800020	Administrative Information Services	\$75,000	\$0	\$1,431,540	\$0	\$1,506,540	0.00%
Total		\$1,164,630	\$0	\$1,534,778	\$0	\$2,699,408	0.00%

1. Please describe source(s) and % of total of "Other" funding for each department:

FY'26 Top Five Operational Appropriated Funding Increase Requests				
Request by Priority	Request Description	Is this a Supplemental Request? (Yes/No)	Timeframe (One-Time or Recurring)	Appropriation Request Increase Amount (\$)
Request 1:	Guardian System Replacement Funding	no	One-Time	\$700,000
Request 2:	Political Subdivision Funding for enforcement	no	Recurring	\$300,000
Request 3:				
Request 4:				
Request 5:				
Top Five Request Subtotal:				\$1,000,000
Total Increase above FY-25 Budget (including all requests)				
Difference between Top Five requests and total requests:				-\$1,000,000

What are the agency's top 2-3 capital or technology (one-time) requests, if applicable?		
Description of requested increase in order of priority	Needed State Funding for Project (\$)	Submitted to LRCPC or OCAMP? (Yes/No)
Priority 1 Guardian System Replacement Funding TBD	\$700,000	no
Priority 2		
Priority 3		

List any requests for new construction from the Legacy Capital Fund		
Description of requested increase in order of priority	Needed State Funding for Project (\$)	Submitted to LRCPC? (Yes/No)
Priority 1 n/a		
Priority 2		
Priority 3		

Does the agency have any costs associated with the Pathfinder retirement system and federal employees?

How would the agency be affected by receiving the same appropriation for FY '26 as was received in FY '25? (Flat/ 0% change)

Inability to implement replacement for The Guardian System

How would the agency handle a 2% appropriation reduction in FY '26?

Delay hiring for positions, reduce admin expenses.

Is the agency seeking any fee increases for FY '26?		
Description of requested increase in order of priority	Fee Increase Request (\$)	Statutory change required? (Yes/No)
Increase 1 N/A		
Increase 2		
Increase 3		

Federal Funds							
CFDA	Federal Program Name	Agency Dept. #	FY 25 budget (\$)	FY 24 actuals (\$)	FY 23 actuals (\$)	FY 22 actuals (\$)	FY 24 budgeted FTE (#)
	N/A						

Federal Government Impact	
1.) How much federal money received by the agency is tied to a mandate by the Federal Government?	N/A
2.) Are any of those funds inadequate to pay for the federal mandate?	N/A

3.) What would the consequences be of ending all of the federal funded programs for your agency?
N/A
4.) How will your agency be affected by federal budget cuts in the coming fiscal year?
N/A
5.) Has the agency requested any additional federal earmarks or increases?
N/A

FY 2025 Budgeted FTE							
Division #	Division Name	Supervisors	Non-Supervisors	\$0 - \$35 K	\$35 K - \$70 K	\$70 K - \$100K	\$100K+
1000010	General Operations	3	6	0	6	1	2
8800020	Administrative Information Services		1		1		
Total		3	7	0	7	1	2

FTE History by Fiscal Year							
Division #	Division Name	FY 2025 Budgeted	FY 2025 YTD	FY 2024	FY 2023	FY 2022	FY 2016
1000010	General Operations	9.0	7.0	4.0	7.0	6.0	5.0
8800020	Administrative Information Services	1.0	1.0	1.0	1.0		
Total		10.0	8.0	5.0	8.0	6.0	5.0

Performance Measure Review					
Program Name	FY 2024	FY 2023	FY 2022	FY 2021	FY 2020

Revolving Funds (200 Series Funds)			
	FY'22-24 Avg. Revenues	FY'22-24 Avg. Expenditures	June '24 Balance
20000 - Ok Coun Campaign, Eth Rev Fund			
<i>Describe fund purpose and revenue source. Include the statutory citation for the fund if applicable.</i>	\$152,040	\$78,025	\$190,395
21000 -Ethics Comm Online Filing Revl			
	\$193,608	\$358,404	\$94,011

FY 2025 Current Employee Telework Summary						
List each agency physical location (not division), then report the number of employees associated with that location in the teleworking categories indicated. Use "No specified location" to account for remote employees not associated with a site. Use actual current employees (headcount), not budgeted or actual FTE.			Full-time and Part-time Employees (#)			
Agency Location / Address	City	County	Onsite (5 days onsite, rarely remote)	Hybrid (2-4 days onsite weekly)	Remote (1 day or less weekly onsite)	Total Employees
2300 N. Lincoln Blvd, Room G-27	Oklahoma City	OK	8			8
						0
						0
						0
Total Agency Employees						8