

Ethics Commission (296)

Lead Administrator: Lee Slater, Executive Director

FY'16 Projected Division/Program Funding By Source						
	Appropriations	Federal	Revolving	Local	Other*	Total
Administration	\$837,229		\$158,000			\$995,229
Software implementation					\$387,620	\$387,620
						\$0
Total	\$837,229	\$0	\$158,000	\$0	\$387,620	\$1,382,849

Other is the remaining FY 2015 appropriation for software

FY'15 Carryover by Funding Source						
	Appropriations	Federal	Revolving	Local	Other*	Total
FY'15 Carryover	\$0	\$0	\$0	\$0	\$0	\$0

*Source of "Other" and % of "Other" total for each.

What Changes did the Agency Make between FY'15 and FY'16?	
1.) Are there any services no longer provided because of budget cuts?	N/A
2.) What services are provided at a higher cost to the user?	Continuing education programs, software training programs, registration and administration fees.
3.) What services are still provided but with a slower response rate?	N/A
4.) Did the agency provide any pay raises that were not legislatively/statutorily required? If so, please provide a detailed description in a separate document.	74 O.S. 840-2.17 is inapplicable to the Ethics Commission.

FY'17 Requested Division/Program Funding By Source						
	Appropriations	Federal	Revolving	Other	Total	% Change
Administration	\$837,229		\$158,000			-100.00%
software funds				\$200,000		-100.00%
Total	\$837,229	\$0	\$158,000	\$200,000	\$0	-100.00%

Due to the carryover prohibition established in the Constitution the Ethics Commission will need to have the remaining software funds re-appropriated. These are not new funds but a re-appropriation of the FY2015 software funds to be used solely for the new software maintenance.

FY'17 Top Five Appropriation Funding Requests	
	\$ Amount
Request 1: Political Subdivision Enforcement fund established in SB 1745 (2014)	\$100,000
Total Increase above FY-17 Request	100,000

How would the agency handle a 5% appropriation reduction in FY'17?
The Commission does not have any non-mission critical agency expenditures. The agency would need to reduce its recently implemented auditing function and reduce enforcement actions for violations of its rules.

How would the agency handle a 7.5% appropriation reduction in FY'17?
The Commission does not have any non-mission critical agency expenditures. The agency would reduce its recently implemented auditing function and reduce enforcement actions for violations of its rules.

How would the agency handle a 10% appropriation reduction in FY'17?
The Commission does not have any non-mission critical agency expenditures. The agency would reduce its recently implemented auditing function and reduce enforcement actions for violations of its rules.

Is the agency seeking any fee increases for FY'16?	
	\$ Amount
Increase 1 N/A	\$0

What are the agency's top 2-3 capital or technology (one-time) requests, if applicable?

The agency is requesting one time funds due to the creation of the Political Subdivisions Enforcement fund which is currently unfunded. Under the statute the Commission's newly created Political Subdivisions Enforcement Division is not required to enforce the Local Governments Campaign Finance and Financial Disclosure Act if there is less than \$100,000 in the enforcement fund. After initial funding the fund should be self sustaining.

Federal Government Impact

- 1.) How much federal money received by the agency is tied to a mandate by the Federal Government? N/A
- 2.) Are any of those funds inadequate to pay for the federal mandate? N/A
- 3.) What would the consequences be of ending all of the federal funded programs for your agency? N/A
- 4.) How will your agency be affected by federal budget cuts in the coming fiscal year? N/A
- 5.) Has the agency requested any additional federal earmarks or increases? N/A

Division and Program Descriptions

Administrative Services. This division carries out the administrative functions required of all agencies as well as the constitutional functions of the agency. It administers the rules governing lobbyists, campaign finance, conflicts of interest and financial disclosure. This includes promulgation, education, compliance review, and enforcement. The division functions as a repository for all registrations and reports filed by candidate committees, political action committees, party committees, special function committees, financial disclosure, and lobbyist and legislative liaisons.

Political Subdivision Enforcement Division created in 2014 for the enforcement of the Local Government Campaign Finance and Financial Disclosure act. The Commission is not required to enforce the Local Government Campaign Finance and Financial Disclosure Act unless the fund created for the Division has \$100,000 or more. This Division needs one time funding to begin the program after which time it should be self-funding.

FY'17 Budgeted FTE

	Supervisors	Classified	Unclassified	\$0 - \$35 K	\$35 K - \$70 K	\$70 K - \$\$\$
Administration	2		5		4	3
Total	2	0	5	0	4	3

FTE History

	2016 Budgeted	2015	2012	2009	2005
Administration	7	6	5		
Total	7	6	5	0	0

* This employee will become full time

Performance Measure Review

	FY'15	FY'14	FY'13	FY'12	FY'11
Measure I					

Revolving Funds (200 Series Funds)

	FY'13-15 Avg. Revenues	FY'13-15 Avg. Expenditures	June '15 Balance
Revolving Fund I* Ethics Commission Fund 74 O.S. 4258	\$72,135	\$40,004 see * note below	\$180,762
Revolving Fund II Political Subdivision Enforcement Fund 74 O.S. 4258.1	\$0	\$0	\$0

***NOTE:** For FY 13-15 the agency did not need to utilize the Revolving Fund due to 100% agency turnover resulting in significant unexpected appropriated fund savings. This allowed expenditures normally paid from the revolving fund to be paid through appropriated funds. That will not be the case for FY 16 or FY 17 or beyond when we expect to be using all the fees going into the fund each year. Additionally, due to the Commission's unique prohibition on carryover funding sufficient funds must be maintained in the account for terminal leave, unexpected litigation and investigations.